

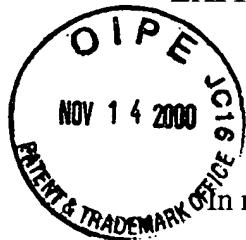
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Receipt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

T.D. Shalon and P.O. Brown

Appl. No.: 09/356,322

Filed: November 24, 1998

For: SUBSTRATES COMPRISING  
POLYNUCLEOTIDE  
MICROARRAYS

Art Unit: 1631

Examiner: Ardin H. Marschel

Atty. Docket: STFD: 009--1

#14/508  
1/23/01

**Request for Corrected Official Filing Receipt**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby requests that a corrected Official Filing Receipt be re-issued and sent to the undersigned representative. Specifically, the following correction to the Official Filing Receipt is requested:

In the Filing Date Section:

**Change 07/16/1999 to 11/24/1998**

The above-referenced application was filed under Rule 53(b) via U.S. Express Mail on November 24, 1998, along with a Preliminary Amendment and an Information Disclosure Statement. Applicants received the return-postcard dated November 25, 1998 indicating receipt of the application by the Patent Trademark Office. Upon finding that the Office had no record of the November 24, 1998 filing, Applicants resubmitted the application, Preliminary Amendment and Information Disclosure Statement on July 16, 1999.



Applicant: T.D. Shalon and P.O. Brown  
Appl. No.: 09/356,322

Enclosed for the Office' review are copies of the original Express Mail Label certifying the deposit of the application on November 24, 1998, and the returned postcard dated November 25, 1998 that itemizes the documents enclosed in the Express Mail. In view of the apparent error made by the Office, Applicants believe that it is proper to reissue a corrected Office Filing Receipt reflecting the above-noted correction. The Office is invited to call the undersigned at (650) 463-8100 with any question or concern regarding this petition.

It is believed that no fee is required; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason, the Assistant Commissioner is authorized to deduct said fees from Arnold White & Durkee Deposit Account No. 01-2508/STFD:009--1/RHO.

Respectfully submitted,

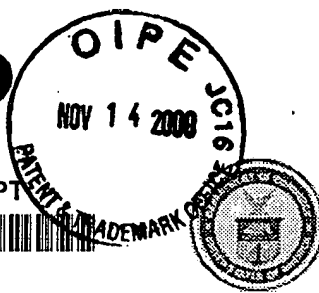
Dated: November 14, 2000

Glenn W. Rhodes  
Reg. No. 31,790  
HOWREY SIMON ARNOLD & WHITE,  
LLP  
P.O. Box 4433  
Houston, Texas 77210-4433  
(650) 463-8100  
Attorneys for Applicants

## CORRECTED FILING RECEIPT



\*OC000000004982057\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231RECEIVED  
11/24/2000R110  
C15

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/356,322	-07/16/1999- 11/24/1998	1631	1728	STFD:009-1	8	55	4

GLENN W. RHODES, ESQ.  
ARNOLD WHITE & DURKEE  
P O BOX 4433  
HOUSTON, TX 772104433

REC'D. - A.W.&amp;D.

MAR 10 2000

HOUSTON DOCKETING DEPT.

Date Mailed: 03/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

TIDHAR DARI SHALON, MENLO PARK, CA ;  
PATRICK O. BROWN, STANFORD, CA ;DOCKETED ☒ UPDATED ☐

Previously \_\_\_\_\_ Not Required \_\_\_\_\_

Appl. Info \_\_\_\_\_

Reg/Grant Info \_\_\_\_\_

Action Required: \_\_\_\_\_

Date Due/Done: \_\_\_\_\_

By: \_\_\_\_\_ Checked: \_\_\_\_\_

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/688,488 07/30/1996  
WHICH IS A CIP OF 08/514,875 08/14/1995  
WHICH IS A CIP OF 08/477,809 06/07/1995 PAT 5,807,522  
WHICH IS A CIP OF 08/261,388 06/17/1994 ABN

## Foreign Applications

Foreign filing license granted on 08/26/1999

## Title

SUBSTRATES COMPRISING POLYNUCLEOTIDE MICROARRAYS

## Preliminary Class

435

Data entry by : STOKES, TUSHOMBE

Team : OIPE

Date: 03/06/2000





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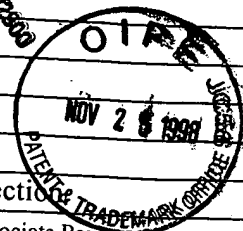
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<input type="checkbox"/> WEEKEND <input type="checkbox"/> HOLIDAY		Customer Signature <i>[Signature]</i>
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<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> CIP	<input type="checkbox"/> Divisional
<input checked="" type="checkbox"/> Specification: 47 Pages		<input checked="" type="checkbox"/> Drawings: 9 Sheets
<input type="checkbox"/> Response to Office Action Dated:		<input type="checkbox"/> Final Rejection
<input checked="" type="checkbox"/> Other: Request for Filing Continuation Application Under 37 C.F.R. § 1.53(b); Preliminary Amendment; Associate Power of Attorney; Information Disclosure Statement and copies of cited art; Check for \$1,764.00.		
<input checked="" type="checkbox"/> Assignment Enclosed	<input checked="" type="checkbox"/> Exp. Mail: EM540830375US	

**IDENTIFICATION OF APPLICATION**

Serial No.: Not Yet Assigned	
Title: Method for Determining the Relative Abundances of Polynucleotide Sequences	
Applicant: Patrick O. Brown and Tidhar Dari Shalon	
Client: Stanford University	Attorney: EVE
Mailed: November 24, 1998	AWD File No.: STFD:009--1
Filed: November 24, 1998	Due Date: